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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/000,190	10/19/2001	Stephen J. Sicola	P01-3684

CONFIRMATION NO. 9539

FORMALITIES LETTER


OC000000007220589

25235
HOGAN & HARTSON LLP
ONE TABOR CENTER, SUITE 1500
1200 SEVENTEENTH ST
DENVER, CO 80202

Date Mailed: 12/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION
FILED UNDER 37 CFR 1.53(b)
Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

02/25/2002 BABRANA1 00000112 10000190

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#3
Attorney Docket No. P01-3684
Client/Matter No. 68854.0185
Express Mail No. EV035490579US
Confirmation No.:9539

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Stephen J. Sicola, Bruce Sardeson, Dennis Spicher, Bruce Roberts, Bill Pagano, Richard Lary, William K. Miller, and Mark J. Conrad

Group Art Unit: 2181

Examiner:

Serial No. 10/000,190

Filed: October 19, 2001

For: UNIFIED MANAGEMENT SYSTEM AND METHOD FOR
MULTI-CABINET DATA STORAGE COMPLEXES

RESPONSE TO NOTICE TO FILE MISSING PARTS

BOX MISSING PARTS

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application, Filing Date Granted, mailed December 20, 2001, submitted herewith is a signed Declaration for Patent Application; a check in the amount of \$130 to cover the surcharge for a large entity; and a copy of the PTO Notice form. Any fee deficiency associated with this communication may be charged to Deposit Account No. 50-1123.

In view of the large entity status to which the application is entitled, it is believed that the original filing fee paid was correct and that only the surcharge fee remains to be paid.

Also enclosed is a Recordation Form Cover Sheet PTO 1595 with executed Assignment and recording fee of \$40.00. Please forward the Assignment to the Recording Branch for recording.

Date: 14 February 2002

William J. Kubida, Registration No. 29,664

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#3

Attorney Docket No. P01-3684
Client/Matter No. 68854.0185
Express Mail No. EV035490579US
Confirmation No.: 9539

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Stephen J. Sicola, Bruce Sardeson, Dennis Spicher, Bruce Roberts,
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CERTIFICATE OF MAILING BY EXPRESS MAIL

BOX MISSING PARTS

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:


The undersigned hereby certifies that the following documents:

1. Response to Notice to File Missing Parts;
2. Copy of Notice to File Missing Parts of Application Filing Date Granted, form and surcharge payment of \$130;
3. Executed Declaration;
4. Recordation Form Cover Sheet PTO 1595 with Executed Assignment and Recording Fee of \$40.00;
5. Certificate of Mailing By Express Mail;
6. Return postcard;

relating to the above application, were deposited as "Express Mail", Mailing Label No. EV035490579US with the United States Postal Service, addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231, 15 Feb, 2002.

15 February 2002
Date
14 February 2002
Date

Mailer


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